

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

ATTORNEY'S DOCKET NUMBER

SHN-0050

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

NYA

10/586988INTERNATIONAL APPLICATION NO.
PCT/KR2004/002626INTERNATIONAL FILING DATE
October 14, 2004PRIORITY DATE CLAIMED
February 7, 2004

TITLE OF INVENTION

RANDOM DRAWING AUCTION SYSTEM AND METHOD BASED ON ENTRANCE FEE PROFIT MODEL

APPLICANT(S) FOR DO/EO/US

Young-Bae KU; Say-Hyung KIM; Eun-Hee NAM

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV916617149US**

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23. Other items or information: <div style="margin-left: 20px;"> Form PCT/IB/304 Claim for Priority </div>																																																																																																					
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IAP6 Rec'd PCT/PTO

21 JUL 2006

Approved for use through 9/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 06-1130 in the amount of \$ \$600.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1130. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
Tel: (860) 286-2929
Fax: (860) 286-0115


SIGNATURE

Jae Y. Park

NAME

Limited Recognition No.: L0077

REGISTRATION NUMBER

July 21, 2006

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Young-Bae KU, et al.

 10/588988
 SHN-0050

 Application No.
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 Filing Date
 July 21, 2006

 Examiner
 NYA

 Customer No.
 23413

 Group Art Unit
 NYA

 Invention: **RANDOM DRAWING AUCTION SYSTEM AND METHOD BASED ON
ENTRANCE FEE PROFIT MODEL**

I hereby certify that the following correspondence:

TRANSMITTAL TO THE U.S. DESIGNATED/ELECTED OFFICE and related papers listed below

(Identify type of correspondence)

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July 21, 2006
(Date)
Kim Padilla
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)
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 International Application No.: PCT/KR2004/002626 (30 pgs);
 Formal Drawings (8 sheets);
 Preliminary Amendment (8 pgs);
 Executed Dec/POA (2 pgs);
 Recordation Form Cover Sheet w/Executed Assignment (3 pgs);
 Claim for Priority (1 pg);
 Form PCT/IB/304 (1 pg);
 Information Disclosure Statement (1 pg);
 PTO Form-1449 w/four cited references (1 page);
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